

IN THE MATTER OF THE *SECURITIES ACT*,
S.N.B. 2004, c. S-5.5

AND IN THE MATTER OF

Andrew J. Trites

(Respondent)

ORDER

WHEREAS on 22 September 2011, the New Brunswick Securities Commission (the "Commission") issued an interim consent Order against the Respondent Andrew J. Trites; and

WHEREAS the Respondent Andrew J. Trites entered into a Settlement Agreement dated 13 June 2012 (the "Agreement") in which he agreed to a proposed settlement of violations of New Brunswick securities law, subject to the approval of the Commission; and

WHEREAS upon reviewing the said Agreement and the Agreed Statement of Facts therein; and

WHEREAS the Commission is of the opinion that it is in the public interest to make this Order.

NOW THEREFORE IT IS HEREBY ORDERED that:

- i. Pursuant to section 191(1)(a) of the *Securities Act*, the Agreement dated 13 June 2012 with respect to Andrew J. Trites is hereby approved;
- ii. Pursuant to section 184(1)(d) of the *Securities Act*, the Respondent shall be denied all exemptions under New Brunswick securities law for a period of five (5) years, except that the Respondent may trade in and for his own account, securities through a registered securities dealer;
- iii. Pursuant to section 184(1)(p) of the *Securities Act*, the Respondent shall disgorge to the New Brunswick Securities Commission the amount of twelve thousand dollars (\$12,000.00);
- iv. Pursuant to section 186(1) of the *Securities Act*, the Respondent shall pay to the New Brunswick Securities Commission an administrative penalty in the amount of ten thousand dollars (\$10,000.00); and

