



NOTICE AND REQUEST FOR COMMENT

The Financial and Consumer Services Commission (Commission) is seeking to amend its Local Rule 11-501 *Fees* (Local Rule 11-501) and welcomes your comments on the proposed amendments.

Local Rule 11-501 currently includes provisions on amounts recoverable as hearing and investigation costs, pursuant to the *Financial and Consumer Services Commission Act*.

The proposed amendments seek to remove these provisions from Local Rule 11-501. These costs will be simultaneously added to the proposed *Rules of Procedure* of the Financial and Consumer Services Tribunal. The applicable costs will remain the same.

Schedules

Schedule A – Proposed amendments to Local Rule 11-501 *Fees*

How to obtain a copy and provide your comments

A paper copy of the proposed amendments may be obtained by contacting the Secretary of the Commission.

Please must submit your comments in writing before September 4, 2017 at the contact information below. A summary of the comments received during the comment period may be published. The identity of persons who provide comments will be kept confidential.

Contact Information:

Manon Losier
Secretary
Financial and Consumer Services Commission
85 Charlotte Street, Suite 300
Saint John, N.B. E2L 2J2
Telephone: 506-658-3060
Toll Free: 866-933-2222 (within NB only)
Fax: 506-658-3059
E-mail: info@fcbn.ca

Questions

If you have questions, please contact the Secretary of the Commission at the above contact information.



AMENDMENT INSTRUMENT TO LOCAL RULE 11-501 FEES

1. Local Rule 11-501 *Fees* is amended:
 - (a) in section 1, by repealing the definition of the term “Tribunal”;
 - (b) in section 2.11, by
 - i. deleting the words “to the Commission, Tribunal or Executive Director for orders” and replacing them with “under the *Securities Act*”; and
 - ii. repealing paragraph d; and
 - (c) by repealing sections 4.2 and 4.3.
2. This rule comes into force on xx, 2017.